## A Derivational Theory of Clause Type and the Unity of Movement David Pesetsky (MIT)

### **Handout 1: A Derivational Theory of Clause Type**

### 1. So many kinds of clauses

• A puzzle: Why are there so many sizes of clause — not just full finite CPs but also clauses missing all sorts of things in their C and T systems?

### (1) kinds of English clauses

a. full:

Mary thinks [that Sue is reading a book].

b. no overt C:

Mary thinks [Sue is reading a book].

- d. no overt C, no tense marking or agreement in T
  Mary believes [Sue to be reading a book].
- e. no overt C, no tense marking or agreement in T (and subject raised)

Mary seemed [ to be reading a book].

- f. no overt C, no tense marking or agreement in T (and subject = PRO)

  Mary planned [PRO to be reading a book].
- g. no overt C, no tense marking or agreement in T, -ing version (subject raised or PRO)

Mary started [ \_\_/PRO reading a book].

h. no overt C, no T at all

Mary saw [Sue read a book].

i. and more ...!

## (2) German restructuring (Wurmbrand 1998; 2002): looks like bare VP "long passive"

weil [der Lastwagen und der Traktor] zu reparieren versucht wurden/\*wurde since [the truck and the tractor]-NOM to repair tried were/\*was meaning: 'since somebody tried to repair the truck and the tractor' but literally: 'since the truck and the tractor were tried to repair'

## (3) Tamazight Berber anti-agreement (examples from Ouali 2006, 5, ex. (10)-(12)) subject agreement reduced

a. thamttut thsila araw (full agreement)
woman 3SG.FEM.see.PERF boys
'The woman saw the boys'

- b. mani thamttut ag slan araw (reduced ageement) which woman COMP see.PERF.Part boys 'Which woman saw the boys'
- c. \*mani thamttut ag thsla araw (\*full agreement)
  which woman COMP 3SG.FEM.see.PERF boys
  'which woman saw the boys?'
- Naïve observation: In all non-full-and-finite clauses, the subject is doing something interesting.
- Bolder version of the conjecture: In all non-full clauses, the subject has moved.

### Even when subject movement is not obvious #1: English that-less finite clauses

Claim: In that-less finite complement clauses like (1b), the subject has moved from spec,TP to spec,CP (Pesetsky and Torrego 2001).

## (4) Adverbials may not precede subject in complementizerless declarative clauses (English)

- a. She claimed [\*(that) soon Mary would arrive].
- b. She insisted [\*(that) most of the time they accepted this solution].

(Grimshaw 1997, 411, ex. (43a))

c. Mary is claiming [\*(that) for all intents and purposes John is the mayor of the city]. (Pesetsky and Torrego 2001, 375, ex. (37b))

## (5) Adverbials may follow subject in complementizerless declarative clauses (English)

- a. She claimed [Mary soon would arrive].
- b. She insisted [they most of the time accepted this solution].
- c. Mary is claiming [John for all intents and purposes is the mayor of the city]
- **Conjecture:** Not a special fact about adverbials, but a fact about the position of the subject, which is in spec,CP in *that*-less finite clauses higher than any position where the adverbials in (4) may occur:

## (6) Adverbials impossible as specifier of CP

- a. \*She claimed [soon that Mary would arrive].
- b. \*She insisted [most of the time that they accepted this solution].
- c. \*Mary is claiming [for all intents and purposes that John is the mayor of the city]

- (7) Adverbials that may precede *that* may also precede the subject in *that*-less finite clauses (observation of Doherty 1997)
  - a. She says [when we get home (that) things will be different].
  - b. I believe [next year (that) she'll be fine].
  - c. I suppose [ordinarily (that) you would go somewhere else].
  - d. He thinks [in some circumstances (that) things would be better].

(Doherty 1997, 203, ex. (16)-(17))

### Even when subject movement is not obvious: obligatory control infinitivals

 ... where Landau argues, following earlier insights of Chierchia, that a semantically vacuous minimal pronoun moves from spec, TP to spec, CP — creating a λ-expression denoting a property:

(8) Mary tried [PRO C [ to ... ]]

Core proposal #1: Clauses smaller than full finite CPs are always syntactically derived — their smaller-than-full size a consequence of the subject moving. Clause-size differences are not part of what a clause is born with, but arise in the course of the derivation.

**Core proposal#2:** It is the creation of a specific configuration as a result of subject raising — a structurally adjacent T and C that have both attracted the same element — that triggers deletion of elements of T or C or both: a *dissimilation* process (not unknown in syntax elsewhere).

### **Ingredients:**

- Conventional view of merge, probes, goals, EPP
- a semi-conventional distribution of movement-triggering features
- a specific version of the ban on "improper movement"
- successive cyclicity requiring extraction from CP to always proceed via Spec,CP
- the Dissimilation rule

#### A personal note:

- Much of this material was first developed in work that I thought motivated a process of
  "Exfoliation" (deleting outer layers of a clause) but Exfoliation now plays a very minor
  role as a limiting case of dissimilation.
- It may (or may not) be interesting to discuss where I went wrong and why, as an object lesson in wrongness. Happy to do that if it is requested.

### Part 1: Clause reduction due to dissimilation

### 2. Complementizer-trace effects

### Subject/non-subject asymmetries (English)

- The "that-trace effect" in English
- (9)  $\bar{A}$ -extraction of the local subject  $\rightarrow$  \*overt complementizer
  - a. \*Who do you think [that \_\_ met Sue]?

    b. \( \sqrt{Who do you think } \) met Sue]?
- (10)  $\bar{\mathbf{A}}$ -extraction of object or anything other than local subject  $\to \checkmark$  overt complementizer
  - a. √Who do you think [that Sue met \_\_]?

    b. √Who do you think [ Sue met \_\_]?

### What moving elements produce the effect?

- Ā-extraction of any element that seems to satisfy the obligatory-subject requirement of English clauses ("EPP") behaves like (9)
- (11) Locative inversion shows the that-trace effect (Bresnan 1972)

In this room can be found the best examples of Minoan sculpture.

- a. \*In which room do you think [that \_\_ can be found the best examples of Minoan sculpture]?
- b.  $\sqrt{\text{In which room do you think }}[\_ \text{can be found the best examples of Minoan sculpture}]?$
- (12) Predicate inversion shows the *that*-trace effect

Even more important than syntax is global warming.

- a. \*How much more important than syntax do you think [that is global warming]?
- b. √How much more important than syntax do you think [\_\_ is global warming ]?

• A-extraction of <i>anything</i> other than a local subject behaves like (10)	(17) <b>Wolof (*<i>l-a</i> with LD subject extraction)</b> (Martinović 2014) a. L-an l-a Aali xam ni l-a xale bi gis
(13) Extraction of a non-local subject: subject extraction does not produce a <i>that</i> -trace effect in higher clauses	CM-Q L-CWH Ali know that L-CWH child DEF.SG see 'What did Ali know that the child saw?'
a. √Who do you think [that they said [ met Sue]]?	b. *K-an l-a Aali xam ni l-a gis xale bi
b. ✓Who do you think [they said [ met Sue]]?	CM-Q L-CWH Ali know that L-CWH see child DEF.SG  'Who did Ali know saw the child?'
(14) Extraction of a local high adjunct does not show the <i>that</i> -trace effect a. √Why did you say [that they met Sue ]? (Huang 1983; Lasnik & Saito 1986) b. √Why did you say [they met Sue ]?	Ban on local subject extraction (like English) — but no C-omission rescue strategy
• <b>Summary:</b> the effect concerns extraction from an embedded clause of <i>the pre-verbal phrase</i> that satisfies the subject requirement ("EPP") of that clause.	(18) Russian (Pesetsky 1979)  a. %Kogo ty xočeš', čtoby Maša vstretila? (object extraction) who.ACC you.NOM want, that.SJN Maša.NOM meet.SJN.F.SG `Who do you want Masha to meet?'
(15) Material intervening between C and Spec,TP eliminates the <i>that</i> -trace effect (Bresnan, 1977, 194 fn. 6; Culicover 1993)	b. *Kto ty xočeš', čtoby vstretil Mašu? (subject extraction) who.ACC you.NOM want, that.SJN meet.SJN.M.SG Maša.ACC 'Who do you want to meet Masha?'
<ul> <li>a. Sue met the man who Mary is claiming that [for all intents and purposes] was the mayor of the city.</li> <li>b. Bill, who Sue said that [to the rest of us] might seem a bit strange, turned out to be quite ordinary.</li> </ul>	(19) Nupe (Kandybowicz 2006, 220-221)  a. Ke u: bè [ke Musa du] na o? (object extraction)  what 3.sg seem COMP Musa cook NA O  'What does it seem that Musa cooked?
3. Why should we care about the <i>that</i> -trace effect?	b. *Zèé u: bè [ke du nakàn] na o? (subject extraction) who 3.SG seem COMP cook meat NA O 'Who does it seem cooked meat?'
<ul> <li>Versions of the effect are found in languages all over the globe — and never an opposite asymmetry or other simple-to-describe variants.</li> </ul>	• C takes an alternate form when subject extracted (like English)
<b>The challenge:</b> Why should the input data to the child just happen to be similar in this fashion in unrelated speech communities — with the result that the same subject/non-subject asymmetry is acquired by children growing up in them?	(20) French a. Qui penses-tu [que Marie a rencontré]? (object extraction) who think-you that Marie met 'Who do you think Mary met?
• C unpronounced when local subject extracted (like English):	b. *Qui penses-tu [qu' a rencontré Marie]? (subject extraction)
(16) Levantine Arabic (Kenstowicz 1983; 1989)  a. ?ayy fustaan [Fariid kaal (innu) l-bint ištarat _] (object extraction) which dress Fariid said that the-girl bought 'Which dress did Fariid say that the girl bought?'	who think-you that has met Marie 'Who do you think met Mary?'  c. Qui penses-tu [qui a rencontré Marie]?
b. ?ayy bint Fariid kaal [(*innu) ištarat l-fusṭaan] (subject extraction) which girl Fariid said that bought the dress 'Which girl did Fariid say bought the dress?'	• Material intervening between C and Spec,TP cancels the <i>that</i> -trace effect (cf. (15))

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(21)	Adverb intervention ameliorates que-trace effect (Bošković 2016) ?Quelle étudiante crois-tu [que dans deux jours va partir? which student believe-you that in two days goes leave.INF 'Which student do you believe that in two days is going to leave?'	(25)a. PP arguably intervenes between C and subject Wat denk je [dat in Den Haag () zetelt]? what think you C in the Hague resides 'What do you think is based in the Hague?'
<u>Nupe</u>		b. PP does not intervene
(22)	Adverb intervention ameliorates that-trace effect (Kandybowicz 2006)  Zèé Musa gàn [gànán pányi lèé nì enyà] o? who Musa say COMP before PST beat drum O 'Who did Musa say that a long time ago beat the drum?'	<ul> <li>??Wat denk je [dat zetelt in Den Haag]?</li> <li>c. not just an incompatibility of PP-over-V with subject extraction Wat denk je [dat al sinds mensenheugenis zetelt in Den Haag] what think you C since time immemorial resides in the Hague 'What do you think has been based in the Hague since time immemorial?'</li> </ul>
<b>Dutch</b>	h (Marcel den Dikken, p.c. circa 2000)	· · · · · · · · · · · · · · · · · · ·
(23) a.	Object parsable as fronted → no that-trace effect (Dutch)  Subject extracted from below Spec,TP  Wie denk je [dat er komt]?  who think you C EXPL comes	<ul> <li>Versions of the effect are found in languages all over the globe — and never an opposite asymmetry or other simple-to-describe variants.</li> <li>Why should the input data to the child just happen to be similar in this fashion in unrelated speech communities?</li> </ul>
b.	Subject extracted from Spec,TP  *Wie denk je [dat komt]? who think you that comes	
c	OK because the direct object has been fronted above Spec, TP?  √Wie denk je dat dat () zag?  who think you C DEM saw  `Who do you think saw that?'	<ul> <li>"Poverty of the stimulus": The data actually available to children is too sparse to support learning of the relevant contrasts (Philips 2013)</li> <li>And often, when a language fails to show a that-trace effect, we think we know why: the skipping strategy (Rizzi 1981; 2014)</li> </ul>
(24) a.	PP parsable as fronted → no that-trace effect (Dutch "PP-over-V")  PP arguably intervenes between C and subject  ?Wie denk je [dat aan het eten () had gedacht]?  who think you C about the food had thought  'Who do you think had thought about the food?'	(26) Italian: apparent absence of <i>that</i> -trace effects  a. Chi pensi che i linguisti hanno incontrato? who you.think C the linguists AUX.3PL met 'Who do you think the linguists have met?'
b.	PP does not intervene *Wie denk je [dat had gedacht aan het eten]?	b. Chi pensi che ha [incontrato i linguisti]? who you.think C AUX.3sg met the linguists 'Who do you think met the linguists?'
		Argument:
		(27) The "skipping strategy" Rizzi (1982): the obligatory use of clitic <i>ne</i> diagnoses extraction directly from a <i>vP</i> -internal object position rather than from Spec,TP
		Quante hai detto che *(ne) sono [VP cadute]? how.many.F.PL have.2SG said that of them AUX.3PL fallen.F.PL

'How many of them did you say fell?

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•	Does material intervening between C and the subject position interact with skipping?
	(rendering it unnecessary to extract from a vP-internal position)

In Catalan, yes — and also in some N.Italian dialects (Enrico Flor, p.c.)

### (28) Catalan: left-periphery interveners make skipping unnecessary

- a. Quants has dit que ??han/√n'han caigut? how.many have.you said that have / CL=have fallen 'How many (of them) have you said have fallen?'

In Standard Italian, however, no — a puzzle (Stanislao Zompì, p.c.)

# 4. $Local \bar{A}$ -movement of the subject also produces effects akin to the that-trace effect

• Think of the *that*-trace effect in English and elsewhere as a *reduction* or *alteration* of C when the subject is extracted.

**Speculatively:** "alteration" is always reduction (even if it's not obvious, as in *que~qui*).

• New: Such reductions and alterations are found even when the subject is not extracted from its clause, but merely moved to its edge:

### Silencing or loss of C

(29)	<b>Wolof:</b> * <i>l-a</i>	also wh	en subje	ct is short-dist	ance wh-moved	(cf.Error! Reference source
aot	fforanda))	jox	Musaa	téere bi?		
	CM-AN CWH	hand	Musa	book def.sg	(*l-a)	
	Who hande	ed the b	ook to M	ſusa?'		

b.	K-an	l-a	Musaa	gis
	CM-AN	I-CWH	Musa	see

Alteration of	(
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30) a.	French que/qui alternation (cf. (20)) with local Ā-moven Je me demande qui que Marie voulait voir	nent of subject ?
b.	Je me demande qui qui voulait voir Marie. I wonder who qui wanted to see Marie.'	
31) a	Bùlì ātt/ālì alternation (Sulemana 2017)  . (Ká) bwā ātì bí:ká dìgì: ?  Q what Ātì child.def cook  'What did the child cook?'	
t	o. (Ká) wānā <b>ālì</b> dìg lāmmú:? Q who ĀLì cook meat.def 'Who cooked meat?'	
Reduc	tion of T: anti-agreement	
32)	Tamazight Berber anti-agreement (examples from Ouali subject agreement reduced	2006, 5, ex. (10)-(12))
a.	thanttut thsla araw woman 3SG.FEM.see.PERF boys 'The woman saw the boys'	(full agreement)
b.	mani thamttut ag slan araw which woman COMP see.PERF.Part boys 'Which woman saw the boys'	(reduced ageement)
c.	*mani thamttut ag thsla araw which woman COMP 3SG.FEM.see.PERF boys 'which woman saw the boys?'	(*full agreement)
ocal	subject extraction obligatorily from (post-verbal) vP-inte	rnal position
33)	Italian short-distance subject extraction (compare (27)) *Quante sono cadute? / ✓ Quante ne sono cadu how.many are fallen CL.GEN 'How many (of them) have fallen?'	ute?

### handout 1/page 6

## 5. What do local and long distance Ā-movement of the subject have in common?

Answer: Their first step (even if not the last):

local A-movement of the subject from Spec, TP to Spec, CP

### Proposal:

• Both the *that*-trace family of effects found wth long-distance Ā-movement and the similar effects found with short-distance Ā-movement have the same origin ...

... a consequence of short-distance movement to spec,CP from the specifier of the complement of C (henceforth "TP")

### (34) Kinvalolo Dissimilation (first version)

In [CP ... C [TP .... T ...]], where TP is the complement of C, if both T and C have triggered movement of the same phrase, one or the other must undergo featural reduction.

The "skipping strategy": The phrase that moves to spec, CP did not pass through spec, TP.

The ameliorating effect of fronting material between TP and CP: if the material occupies a specifier or modifier position in a projection between TP and CP, the environment for Kinyalolo Dissimilation is not met.

**Assumption:** only feature deletion is an acceptable form of "featural alteration" (but this will not be crucial), so we might just say "alteration" in the statement of the rule

**Examples so far:** No pronounced C (right analysis to be determined), C changes its shape, T loses agreement morphology

#### To be discussed:

Which head (C or T or both) undergoes dissimilation under which circumstances.

• Closely related to proposed *anti-locality constraints*, with which it basically shares an environment, e.g.

### (35) Anti-locality

Movement to the edge of CP must cross a phase boundary. (cf. Saito & Murasugi 1998; Bošković 1994; Ishii 1999; Grohmann 2003, Erlewine 2015)

The proposal here does not ban very local movement — it permits it and even requires
it. But it singles out such movement as triggering an effect.

### My ambition: Total world domination.

Claim: Kinyalolo Dissimilation is responsible for much of the look of the world's less-than-full clauses (and more).

# 6. The unity of movement: Kinyalolo Dissimilation triggered by Hyperraising

• **Hyper-raising** = A-movement from a finite clause

### Two languages in which hyper-raising triggers a that-trace effect

### Lusaamia (Bantu, Kenya) shows hyper-raising with a that-trace effect:

"The reconstructed reading is blocked by the presence of a complementizer in the embedded clause." (Carstens & Diercks 2013)

(36) Scenario: You find that the watering hole is empty. Though there are no cows on site, you can say:

### a. no raising

Bi-bonekhana koti eng'ombe chi-ng'were amachi 8sA-appear that 10cow 10sA-drink 6water 'It appears that the cows drank the water'

### b. R1, no complementizer

Eng'ombe chi-bonekhana chi-ng'were amachi 10cow 10sA-appear 10sA-drink 6water 'The cows appear to have drunk the water'

... but not:

### c. R1, complementizer

\*Eng'ombe chi-bonekhana koti chi-ng'were amachi 10cow 10sA-appear that 10sA-drink 6water 'The cows appear as if they have drunk the water' (Carstens & Diercks 2013)

relativ	vization) (Jenks & Rose)
(37) a.	*Complementizer in hyper-raising  Kúk:u g-a-rómóṭ-iə [ (*ṭá) g-é]-\fóð-á ugi ]  K. clg-rtc-continue-ipfv compl clg-dpcl-chop-ipfv clg.tree  'Kuku kept chopping the tree'
b.	oráŋ g-a-n:-ó Kúk:u-ŋ [ (*t̪á) g-ê-lanḍ-ó ʌwúr ] man clg-rtc-hear-pfv Kuku-асс сомр1 clg-dpc1-close-prfv clj.door 'The man heard Kuku close the door'
	ish: Hyperraising surprisingly tolerable if followed by Ā-movement of the hyperraised mal — as discovered by Danckaert and Haegeman.
	Hyperraising in English  a.% McDonald's has also seen an increase in the standard of hygiene across restaurants which is felt is attributable to the fact that the programme is now specifically about McDonald's restaurants.  b.% A recording was also made of each School and was then used to transcribe the minutes and any quotes which were felt were relevant to the process.  c.% [The church leaders] disagreed as to which books were thought were "Godly inspired".  (Danckaert and Haegeman 2017, 27-28, ex. (1), (4), % added)
	Complementizer-trace effect with hyperraising (English)  These organisations will now have the opportunity to bid for the new city funds, which are hoped (*that) will help up to 150 families facing eviction.  (Danckaert and Haegeman 2017, 30, ex. (9))
The s	skipping strategy and hyper-raising
(40)	No COMP-trace effect: Ā-extraction co-occuring with complementizer (Greek) Pjos nomizis oti tilefonise? who think.2s that phoned (Roussou 2002, ex. 32b)
(41)	Hyperraising co-occuring with complementizer (Greek)  Ta pedhia arxisan na trexoun the children.Nom started.3pl comp.subj run.3pl  'The children started to run' (Alexiadou and Anagnostopoulou 1999, ex. (11))
	<b>onjecture:</b> The skipping strategy obviates the COMP-trace effect for hyperraising as it does or Ā-extraction.

Moro (Kordofanian, Sudan): Hyper-raising incompatible with complementizer (as is subject

### Another way to skip?

42	2) Ā-movement shows no complementizer-trace effect (Zulu) ubani uSipho o-cabanga ukuthi u-zo-fika?	
	ubani uSipho o-cabanga ukuthi u-zo-fika?  Aug.1who Aug.1Sipho 1rel-think comp 1sg-fut-arrive  'Who does Sipho think will arrive?' (Claire Halpert, personal communication)	n; field notes
43	Hyperraising co-occurring with complementizer (Zulu)  a. ku-bonakala [ukuthi iqhina li-zo-phuma embizeni] 17s-seem comp aug.5steinbok 1s-fut-exit loc.3cooking.pot  b. iqhina li-bonakala [ukuthi li-zo-phuma embizeni] AUG.5steinbok 5s-seem comp 5-fut-exit loc.3cooking.pot  'It seems that the steinbok will leave the cooking pot.' (idiom) i.e. 'It seems that the cat will be out of the bag.' (Halpert 2018, 6, e	ex. (19a-b))
,	Halpert (2018): Raising verb first agrees with CP, then with raised subject — optiona agreement is realized on verb:	
44	/ Italian with the sample of the completion of t	Raising/CP a
	b. uZinhle <b>u</b> -bonakala [ukuthi u-zo-xova ujeqe] Ran Aug.1Zinhle 1s-seem COMP 1-FUT-make Aug.1bread	ising/subj. ag
	c. uZinhle <b>ku</b> -bonakala [ukuthi u-zo-xova ujeqe] Ran Aug.1Zinhle 17s-seem comp 1s-fut-make Aug.1steamed.bread 'It seems that Zinhle will make steamed bread.'  (Halpert 2018, 14-15, ex. (35a-b),(36); citing Halpert 2	ising/CP agr.
•	Halpert (2018): Agreement of higher verb with CP complement " <b>unphases</b> " it — mapossible to skip successive-cyclic movement through its edge. Can be incorporated in present approach with the same logic as skipping: no dissimilation will be triggered!	n the
•	<b>Prediction:</b> Raising + CP-agreement → no evidence of dissimilation in embedded c	lause.
	Fernández-Salgueiro (2005, 2008), for example, observes that several Romance lange (Spanish, Galician, European Portuguese, Italian and Catalan) allow hyperraising with	•

Fernández-Salgueiro (2005, 2008), for example, observes that several Romance languages (Spanish, Galician, European Portuguese, Italian and Catalan) allow hyperraising with one of the two patterns observed in Zulu: invariant 3SG agreement on the higher verb, which we might plausibly analyze as agreement with the embedded clause: Spanish, Galician, European Portuguese, Italian and Catalan.

Simonović and Arsenijević (2014), building on observations by Klajn (2007), note a similar possibility in Serbian with the modal verb trebati 'ought/need' — proscribed by the normative tradition, but described by Simonović and Arsenijević as "not problematic for most speakers"

### Hyperraising with 3sg agreement on the raising verb

(45) a. Estes nenos parece [que son Galician these kids seem.3sg comp are.3pl very smart.m.pl

> 'These kids seem to be very smart.' (Fernández-Salgueiro 2008, 299, (5))

Mi ne treba [da dođemo], ali ipak dolazimo. Serbian We NEG should.3sg COMP come.1PL but nevertheless come.1PL 'We shouldn't come, but we're coming nevertheless.'

(Simonović and Arsenijević 2014, 6, (3b); translation mine)

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## 7. Infinitivization and long-distance A-movement

• [Non-hyper]-Raising =

A-movement from a clause that is **non-finite**.

• General observation: Infinitival clauses have something funny going on with their subjects.

### Terminology for this class of phenomena:

R1 = "Raising to subject"

R2 = "Raising to object" / ECM

### Complementizerless nonfinite clause (with propositional semantics) only if embedded subject is extracted

a. Sue considers Mary to have solved the problem. *R2 R1* 

b. Mary seems to speak French well.

c. \*It seems Mary to have solved the problem. unacc. V

d. \*It was believed Mary to speak French well. passive V e. \*Mary is aware Bill to be the best candidate.

f. \*Mary's belief it to have been raining

### Infinitivization conjecture

- Conjecture (to be supported in the next sections): Infinitivization is another possible result of Kinyalolo Dissimilation
  - o ... in (46), the result of long-distance A-movement via Spec, CP ...
  - o ... which, since it is limited in English to the embedded subject, entails that C bears a φ-probe with a movement-triggering (EPP) property in such constructions.

Of course, there is a more established account of the paradigm in (46) as a consequence of the Case Filter. More on that soon.

### Long-distance A-movement can also trigger infinitivization

- (47) The "Kayne paradigm" (Kayne 1984)
  - a. \*I assure you Mary to be the best candidate.
  - b. ✓ Mary, who I assure you to be the best candidate... [note also: \*Mary was assured you to be the best candidate...]
- English wager-class verbs (Postal 1974; Pesetsky 1991)
  - a. \*We wagered Mary to be the most likely winner.
  - b. Mary, who we wagered to be the most likely winner...
  - c. Mary was wagered to be the most likely winner.
- French believe-class verbs (Kavne 1980)
  - a. \*Je crovais cet homme être I believed this man AUX.INF arrived 'I believed this man to have arrived.'
  - b. l'homme que je croyais être the man that I believed AUX.INF arrived 'the man that I believed to have arrived...'
  - c. %Marie a longtemps été crue avoir résolu ce problème. Marie AUX long.time been believe.FEM have solved this problem (also 'consider', 'suppose', 'say', 'guess'...; Pollock 1984)

### No infinitivization if no Kinvalolo Dissimilation environment

- No infinitivization when there is Zulu-style agreement of a raising verb with its CP complement, as is possible in Serbian and Bosnian:
- Bosnian judgments: V agreement with CP complement  $\rightarrow$  no infinitivization (Nedzad Leko, p.c.)
  - a. Mi treba pievamol, a plačemo. ne da we should.3sg COMP sing.1PL, and not COMP cry.1PL.1PL
  - b. Mi √trebamo/\*treba pjevati, a ne plakati. we should.1PL/3sg sing.INF, and not cry.INF 'We should sing, and not cry.'

### Zulu: no raising from an infinitival clause (because no stopping off at spec, CP)

- ku-bonakala [ukuthi iqhina li-zo-phuma embizeni] 17s-seem that AUG.5steinbok 1s-FUT-exit LOC.3cooking.pot
- ighina;  $\mathbf{li}$ -bonakala [ukuthi  $\mathbf{t}_i$   $\mathbf{li}$ -zo-phuma embizeni] AUG.5steinbok<sub>i</sub> 5s-seem that  $t_i$  5s-FUT-exit LOC.3cooking.pot
- \* iqhina<sub>i</sub> li-bonakala [t; uku-(zo)-phuma embizeni] AUG.5steinbok; 5S-seem  $t_i$  INF-(FUT)-exit LOC.3cooking.pot 'It seems that the secret will come out.' (literal: 'It seems that the steinbok will leave the cooking pot.')

**Zulu:** CP agreement obligatory even if not morphologically detectable.

**BCS:** CP agreement an option (in certain registers)

### • Extraction of a non-subject does not have the consequences of subject extraction

### No that-trace effect for A-extraction of a direct object

- a. Who do you think that Sue met ? (cheating slightly here)
- b. Who do you think (\*that) met Sue?

### No infinitivization licensed by direct object extraction recall:

\*It seems Mary to have solved the problem.

unacc. V

\*It was believed Mary to speak French well.

passive V

\*Mary is aware Bill to be the best candidate.

 $\boldsymbol{A}$ 

extracting the object does not improve these structures:

- a. \*What does it seem Mary to have solved?
- b. \*Which language was it believed Mary to speak well?
- c. \*How good a candidate is Mary aware Bill to be?

### 8. Infinitivization and short-distance A-movement

### Is infinitivization also triggered by short-distance A-movement?

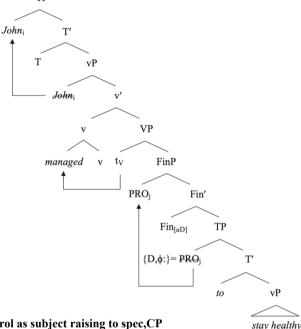
• Control: A-movement of PRO (a semantically vacuous minimal pronoun) from Spec, TP to Spec, CP creates the necessary configuration for predicative interpretation ...

... triggers Kinyalolo Dissimilation in the form of infinitivization (reducing the featural content of T)

### handout 1/page 9

### An analysis of Obligatory Control from Landau (2015, 26) (building on Chierchia 1984)

(32) Derivation of predicative subject control



## Control as subject raising to spec, CP

a. Sue promised [CP PRO C [TP] to leave early]]

b. [CP] PROC[TP] to leave early]] would be rude.

- Even in Zulu this yields an infinitive since local subject movement to Spec, CP does take place and de-phasing by a higher verb is irrelevant.
- Zulu control complement is infinitival

uMandla u-thanda uku-cula AUG.1Mandla 1s-like INF-sing

'Mandla likes to sing.'

(Halpert 2015: Ex. (60a))

## English infinitival relative clause, too/enough construction built on local subject

- a. I need [a book to read on the plane].
- b. ?This student is too young to take the bar exam [without someone talking to pg first]. (Brillman 2014)